



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

FEB 17 2004

In re Patent Application of )  
Ritsuko KAWASAKI et al. )  
Serial No. 09/988,389 )  
Filed: November 19, 2001 )  
For: LASER IRRADIATION METHOD )  
AND METHOD OF MANUFACTURING )  
A SEMICONDUCTOR DEVICE )

Art Unit: 1725

OFFICE OF PETITIONS

Examiner: Unassigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with  
The United States Postal Service with sufficient postage as First  
Class Mail in an envelope addressed to: Commissioner for Patents,  
P.O. Box 1450, Alexandria, Virginia 22313-1450, on 2-9-04

*Rose Fickel*

**RESPONSE TO NOTICE OF ABANDONMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicant's representative received a *Notice of Abandonment* from the U.S. Patent Office mailed February 6, 2004 in the above-identified application. The *Notice of Abandonment* was issued due to Applicant's "failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 01/16/2002."

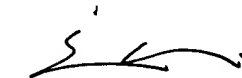
In response to the *Notice of File Missing Parts of Nonprovisional Application* dated January 16, 2002, a Response to Notice to File Missing Parts, Copy of the Notice to File Missing Parts, Executed Declaration and Power of Attorney, Assignment Document and a fee in the amount of \$3,142 were submitted by Certificate of Mailing on /March 18, 2002./ Enclosed please find copies of the above documents along with the stamped acknowledgement from Patent Office evidencing receipt of these documents.

It is noted that the Transmittal Form, Fee Transmittal and Response to Notice to File Missing Parts of Application filed March 18, 2002 incorrectly listed the Serial No. as "09/988,398" instead of the correct number of "09/988,389." However, the Receipt Card, Declaration, Assignment and a copy of the Notice of File Missing Parts all

contained the correct Serial No. of 09/988,389. Furthermore, the inventors, filing date, title and attorney docket number were all correctly reflected on the papers. Therefore, it is believed that these documents should have been associated with the correct file.

In view of the above and the enclosed documents herewith, it is believed that the *Notice of Abandonment* was issued in error. Therefore, it is respectfully requested that the *Notice of Abandonment* be rescinded.

Respectfully submitted,



---

Eric J. Robinson  
Reg. No. 38,285  
Robinson Intellectual Property Law Office, P.C.  
PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789



This with ~~acknowledgment~~ knowledge receipt of the following:

1. Transmittal Form
2. Fee Transmittal Form (2 copies)
3. Response to Notice to File Missing Parts
4. Copy of Notice to File Missing Parts
5. Executed Declaration and Power of Attorney
6. Recordation Cover Sheet with Executed Assignment Document
7. Check No. \_\_\_\_\_ in the amount of \$3,142 (Filing Fee - \$740; Additional Claims Fee - \$2,232; Surcharge - \$130; and Recordation Fee - \$40)
8. Certificates of Mailing for each of the above

In re PATENT application of:

RITSUKO KAWASAKI et al

Serial No. 09/988,389

Due Date: 04/16/2002

For: LASER IRRADIATION METHOD AND METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

Docket: 740756-002393  
EJR/EJR/amd

Filed: 11/19/2001

March 18, 2002



APR 08 2002

101  
41910-

RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office  
Docket No. 0756-2393

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ritsuko KAWASAKI  
Setsuo NAKAJIMA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 2/15/2002 and 2/28/2002

2. Name and address of receiving party(ies)

Name: Semiconductor Energy Laboratory Co., Ltd.

Internal Address: \_\_\_\_\_

Street Address: 398, Hase

Atsugi-shi

City: Kanagawa-ken State: \_\_\_\_\_ Zip: \_\_\_\_\_

Country: Japan Postal Code: 243-0036

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/988,389

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Eric J. Robinson

Firm Name: Nixon Peabody LLP

Internal Address: Suite 800

Street Address: 8180 Greensboro Drive

City: McLean State: VA Zip: 22102

7. Total number of applications and patents involved: 1

8. Total fee (37 CFR 3.41) .....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

6. Submission Type

- ☒ New  
☐ Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_  
☐ Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_  
☐ Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

9. Deposit account number:

19-2380

(Attach duplicate copy of this page if paying by deposit account)

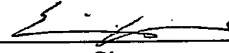
DO NOT USE THIS SPACE

10. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Eric J. Robinson, Reg. No. 38,285

Name of Person Signing

  
Signature

March 18, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

CERTIFICATE OF MAILING  
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on March 18, 2002.

  
Name: Ava M. Dixon

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

NIXON PEABODY LLP  
United States Patent Rights

Attorney Docket No. 740756-2393

ASSIGNMENT

Serial No. 09/988,389

Filed November 19, 2001

WHEREAS, Ritsuko KAWASAKI and Setsuo NAKAJIMA (hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in LASER IRRADIATION METHOD AND METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE for which an application for Letters Patent of the United States of America has been executed by the undersigned on \_\_\_\_\_, and;

WHEREAS, Semiconductor Energy Laboratory Co., Ltd. of 398, Hase, Atsugi-shi, Kanagawa-ken 243-0036 Japan its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International

Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm Nixon Peabody LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

*In witness thereof*, this Assignment has been executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 02/15/2002, Name of Inventor Ritsuko Kawasaki (SEAL)  
Ritsuko KAWASAKI

Date 02/28/2002, Name of Inventor Setsuo Nakajima (SEAL)  
Setsuo NAKAJIMA

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_ (SEAL)

(This assignment should preferably be acknowledged before a United States Consul or Notary Public. If not, then the execution by the Inventor(s) should be witnessed by at least two other persons who should sign here.)

Witness	(name)	(signature)
Witness	(name)	(signature)
Witness	(name)	(signature)



RECEIVED

FEB 17 2004

Please type a plus sign (+) inside this box → [ + ]

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

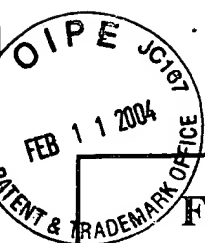
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/988,398	
	Filing Date	November 19, 2001	
	First Named Inventor	Ritsuko KAWASAKI et al.	
	Group Art Unit	1725	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission		Attorney Docket Number	0756-2393

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input checked="" type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Other
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	<u>Eric J. Robinson, Reg. No. 38,285</u> Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
Signature	
Date	March 18, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; padding: 2px;">March 18, 2002</span>	
Type or printed name	Ava M. Dixon
Signature	
Date	March 18, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

Complete if Known	
Application Number	09/988,398
Filing Date	November 19, 2001
First Named Inventor	Ritsuko KAWASAKI et al.
Examiner Name	Unassigned
Group Art Unit	1725
Attorney Docket No.	0756-2393

TOTAL AMOUNT OF PAYMENT (\$ 3,142)

METHOD OF PAYMENT	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	
Deposit Account Number	19-2380
Deposit Account Name	Nixon Peabody LLP
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input checked="" type="checkbox"/> Payment Enclosed:	
<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input type="checkbox"/> Money Order	<input type="checkbox"/> Other

FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740 201 370		Utility filing fee	740.00
106 330 206 165		Design filing fee	
107 510 207 255		Plant filing fee	
108 740 208 370		Reissue filing fee	
114 160 214 80		Provisional filing fee	
SUBTOTAL (1)		(\$ 740.00)	

2. EXTRA CLAIM FEES							
Total Claims	102	-20** =	82	X	18	=	1,476.00
Independent Claims	12	-3** =	9	X	84	=	756.00
Multiple Dependent							
Large Entity Fee Code		Small Entity Fee Code	Fee Description	Fee Paid			
103 18 203 9			Claims in excess of 20				
102 84 202 42			Independent claims in excess of 3				
104 280 204 140			Multiple dependent claim, if not paid				
109 84 209 42			** Reissue independent claims over original patent				
110 18 210 9			** Reissue claims in excess of 20 and over original patent				
SUBTOTAL (2)		(\$ 2,232.00)					
**or number previously paid, if greater; For Reissues, see above							

3. ADDITIONAL FEES			
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	130.00
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English transaction	
147 2,520 147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 920 217 460		Extension for reply within third month	
118 1,440 218 720		Extension for reply within fourth month	
128 1,960 228 980		Extension for reply within fifth month	
119 320 219 160		Notice of Appeal	
120 320 220 160		Filing a brief in support of an appeal	
121 280 221 140		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,280 241 640		Petition to revive - unintentional	
142 1,280 242 640		Utility issue fee (or reissue)	
143 460 243 230		Design issue fee	
144 620 244 310		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CR 1.17(q)	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	40.00
146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740 249 370		For each additional invention to be examined (37 CFR § 1.29(b))	
179 740 279 370		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	
Other fee (specify)			
* Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	(\$ 170.00)

CERTIFICATE OF MAILING  
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on March 18, 2002.  
Name: Ava M. Dixon

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285
Signature		Telephone	(703) 790-9110
		Date	March 18, 2002



**FREE TRANSMITTAL  
FOR FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ ) 3,142**Complete if Known**

Application Number	09/988,398
Filing Date	November 19, 2001
First Named Inventor	Ritsuko KAWASAKI et al.
Examiner Name	Unassigned
Group Art Unit	1725
Attorney Docket No.	0756-2393

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

19-2380

Deposit  
Account  
Name

Nixon Peabody LLP

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)** (\$ ) 740.00**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
102	-20** = 82	X 18 =	1,476.00
Independent Claims	12	-3** = 9	X 84 = 756.00
Multiple Dependent			

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$ ) 2,232.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English transaction	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.29(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 170.00**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on March 18, 2002.

Name: Ava M. Dixon

**SUBMITTED BY**

Name (Print/Type) Eric J. Robinson

Signature

Registration No.  
(Attorney/Agent)

38,285

**Complete (if applicable)**

Telephone (703) 790-9110

Date

March 18, 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
Ritsuko KAWASAKI et al. )  
Serial No. 09/988,398 )  
Filed: November 19, 2001 )  
For: LASER IRRADIATION METHOD )  
AND METHOD OF )  
MANUFACTURING A )  
SEMICONDUCTOR DEVICE )

) Attention: Applications Branch

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with  
The United States Postal Service with sufficient postage as First  
Class Mail in an envelope addressed to: Commissioner for Patents,  
Washington, D.C. 20231, on 03/11/02

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**OF APPLICATION - FILING DATE GRANTED**

Honorable Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, under 37 CFR 1.53(b) dated January 16, 2002, submitted herewith is an executed Declaration and Power of Attorney and a copy of Notice to File Missing Parts of Application - Filing Date Granted along with the fees under 37 CFR 1.16. (Statutory Basic Filing Fee - \$740; Surcharge - \$130, and Additional Claims Fee - \$2,232).

The above fees are covered by the check attached herewith. Should there be any additional fees required for proper filing of the attached documents, please charge Deposit Account No. 19-2380. Prompt issuance of a filing receipt is respectfully requested.

Respectfully submitted,

Eric J. Robinson  
Reg. No. 38,285

NIXON PEABODY LLP  
8180 Greensboro Drive  
McLean, Virginia 22102  
(703) 790-9110



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

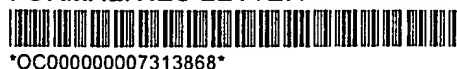
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,389	11/19/2001	Ritsuko Kawasaki	740756-2393

22204  
NIXON PEABODY, LLP  
8180 GREENSBORO DRIVE  
SUITE 800  
MCLEAN, VA 22102



CONFIRMATION NO. 8261

## FORMALITIES LETTER



\*OC000000007313868\*

Date Mailed: 01/16/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2232.
  - \$1476 for 82 total claims over 20.
  - \$756 for 9 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3102.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DAC

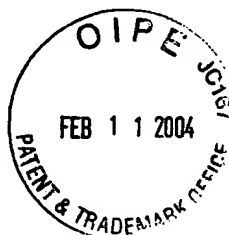


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/988,389	11/19/2001	Ritsuko Kawasaki	740756-2393

31780  
 ERIC ROBINSON  
 PMB 955  
 21010 SOUTHBANK ST.  
 POTOMAC FALLS, VA 20165



RECEIVED

FEB 17 2004

OFFICE OF PETITIONS

CONFIRMATION NO. 8261

ABANDONMENT/TERMINATION  
 LETTER



\*OC000000011850870\*

Date Mailed: 02/06/2004

## NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 01/16/2002.

- No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

*A copy of this notice MUST be returned with the reply.*

*M. Young*  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE